



# Phase II Annual Compliance Certification Report

For more information, see instructions and refer to 40 CFR 72.90

Compliance Year

- 1) I have attached a list of all the affected units that are subject to any requirements under the Acid Rain Program, except for any units with new unit exemptions or retired unit exemptions (under 40 CFR 72.7 or 72.8) that were effective during this entire compliance year, and I certify that the listed units were in compliance with all requirements of the Acid Rain Program during this compliance year.
- 2) As of the allowance transfer deadline, each affected unit held allowances in its compliance subaccount (after accounting for any allowance deductions under 40 CFR 73.34(c)) not less than its total SO<sub>2</sub> emissions during this compliance year in accordance with 40 CFR 72.9(b) and (c).
- 3) Where applicable, each affected unit was in compliance with the NO<sub>x</sub> emissions limitation for the unit under the Acid Rain Program in accordance with 40 CFR part 76.
- 4) The monitoring plan for each unit has been maintained to reflect the actual operation and monitoring of the unit, and the plan contains all information necessary to attribute monitored emissions to the unit, in accordance with 40 CFR part 75.
- 5) All emissions from each unit, or group of units (including the unit) using a common stack or pipe, were monitored or accounted for through the missing data procedures and reported in quarterly monitoring reports in accordance with 40 CFR part 75.
- 6) The facts that form the basis under 40 CFR part 75 for certification of each monitoring system at the unit (or group of units including the unit) using a common stack or pipe or using an Acid Rain Program excepted monitoring method or approved alternative monitoring method have not changed.

Place an X in the appropriate box  
in response to all of the above six items.

☐

YES

☐

NO

If the No box was checked;

- 1) You must attach an explanation of why you checked the No box, including, if applicable, the information required under 40 CFR 72.90(c)(5), and
- 2) For each unit with excess emissions of sulfur dioxide, your explanation must include the information required under 40 CFR 77.3. EPA will immediately deduct allowances to offset the unit's excess emissions, unless you demonstrate that the immediate deduction of allowances will interfere with electric reliability.

## Certification

I am authorized to make this submission on behalf of the owners and operators of the affected source or affected units for which the submission is made. I certify under penalty of law that I have personally examined, and am familiar with, the statements and information submitted in this document and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or imprisonment.

Name (of AAR)

Date

Signature

AAR ID#



# Acid Rain Program

## Instructions for Phase II Annual Compliance Certification Report (40 CFR 72.90)

*The Acid Rain Program regulations in 40 CFR part 72, subpart I require the designated representative to submit an Annual Compliance Certification Report that includes each unit that is subject to an Acid Rain emissions limitation in a calendar year (referred to as the "compliance year"). The report must be submitted by March 1 (or February 29 in a leap year) of the year after the compliance year.*

*Each designated representative must submit one report covering every affected unit for which he or she is the designated representative and that is subject to any requirements under the Acid Rain Program, except for units with new unit exemptions or retired unit exemptions (under 40 CFR 72.7 or 72.8) that were effective during the entire compliance year.*

### Specific Instructions:

Enter the Compliance Year.

Prepare and attach a list of all affected units for which you are the designated representative and that are subject to any requirements under the Acid Rain Program (as defined in 40 CFR 72.2), except for:

- 1) Any unit with a new unit exemption (under 40 CFR 72.7).
- 2) Any unit with a retired unit exemption (under 40 CFR 72.8) that was effective during the entire compliance year.

**The list must include the Plant Name, State, and Allowance Tracking System Account Number.**

Read item numbers one through six.

If you can answer yes to all six items for every affected unit, place an X in the Yes box and proceed to the certification section below.

Otherwise, place an X in the "No" box and attach an explanation that describes why you checked the "No" box. For each unit that did not meet all the requirements of the Acid Rain Program during the compliance year, identify the plant name, state, and the Allowance Tracking System (ATS) unit account number. Also, if applicable, include the information required under 40 CFR 72.90(c)(5) (which concerns changes in the basis for certification of a monitor at any unit or units using a common stack or using an excepted monitoring method or approved alternative monitoring method).

For each unit with excess emissions of sulfur dioxide (i.e., that did not hold enough allowances for the year), the explanation must include the information requested under 40 CFR 77.3 (this explanation will be the proposed offset plan under 40 CFR 77.3). EPA will immediately deduct allowances from the unit's ATS account to offset the excess emissions, unless you request a later date for deduction and provide a demonstration that the immediate deduction will interfere with electric reliability. Such a request and demonstration will be subject to review under 40 CFR 77.4(c) through (k), which includes public review and comment. You may identify specific allowances in the unit's account that you want to offset the excess emissions by submitting an Allowance Deduction Form. Otherwise, allowances will be deducted on a first-in, first-out basis.

**Certification:**

Read the Certifications, type your name, AAR ID Number, sign, and date.

**Mailing Instructions** Mail this form to EPA at one of the following addresses:

for regular or certified mail:

U.S. EPA  
Acid Rain Program (6204J)  
Attention: Annual Reconciliation  
1200 Pennsylvania Avenue, NW  
Washington, DC 20460

for overnight mail:

U.S. EPA  
Acid Rain Program (6204J)  
Attention: Annual Reconciliation  
1310 L Street, NW  
Washington, DC 20005  
Phone: 202-343-9150

**Paperwork Burden Estimate**

The public reporting and recordkeeping burden for this collection of information is estimated to average 5.5 hours per response annually. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW., Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.